

EXHIBIT A

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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re Application of: Steve Hole

Art Unit: 2144

Serial No.: 09/765,927

Examiner: Brown, James Lee

Filed: January 19, 2001

For: Message Tracking System and Method

Commissioner for Patents
Washington, D.C. 20231**Declaration Under 37 C.F.R. §1.131**

Steve Hole, a resident of Edmonton, Canada, declares as follows:

1. I am the inventor of the subject matter claimed in the above-identified patent application.
2. I conceived and reduced to practice in Canada the invention claimed in the above-identified patent application prior to April 14, 2000, the earliest filing date of the cited United States Patent Application Publication No. 2001/0042131 to Mathon et al ("Mathon et al.").
3. Attached as Exhibit A is a copy of sample pages from the computer source code that constitutes a reduction to practice of the invention claimed in the above-identified patent application prior to the filing date of Mathon et al. Exhibit A includes commentary pages from that computer source code. For example, page 1 describes "M-Builder" that includes a set of tools and libraries, which implement the invention claimed in the above-identified patent application. Similarly, page 2 explains the "Transaction Message Reception API," and page 4 explains the "Message tracking subsystem" in that computer source code.

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4. That computer source code was completed after December 8, 1993 in Canada. Canada is a NAFTA and WTO country.

5. Exhibit A, which relates to the aforementioned conception and actual reduction to practice prior to the filing date of the Mathon et al., corresponds to the invention broadly disclosed and claimed in the above-identified patent application.

6. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 21 Dec 04

Steve Hole

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M-Builder is a set of tools and libraries for creating applications that deliver complex data over the Internet. Data is transmitted using "transaction messaging" that is:

- * Secure - message content (payload) can be protected, verified and authenticated for and during transmission.
- * Reliable - message delivery or non-delivery can be guaranteed to specific addresses.
- * Traceable - the status of a particular message can be determined at any time.

Legacy applications are extended to communicate with remote applications or users by integrating the M-Builder transaction messaging components. M-Builder includes a set of easy to use programmatic interfaces for:

- * Creating and submitting messages of arbitrary complexity and payload type.
- * Requesting various levels of security, reliability and traceability on messages.
- * Receiving and extracting data from messages of arbitrary complexity and payload type.
- * Automatically handling security and tracking requirements on incoming messages.

M-Builder interfaces are supported on the majority of common platforms including Unix, Windows and Macintosh, and in a number of common high and low level programming languages including C/C++, Tcl/Tk, and Perl.

4. Transaction Message Reception API

| Feature | Description | Cost | D-Date |
|---|---|------|----------|
| Tasks | | | |
| ----- | | | |
| Recieve mailbox monitor | Application library that implements a mailbox | | |
| * monitor setup/teardown API | monitor. A mailbox monitor connects to and | 1 d | |
| * mailbox connector modules | monitors mailboxes for incoming messages, pulls | 3 d | |
| * message decomposition modules | the messages from the mailbox, decomposes the | 3 d | |
| * notification dispatch manager | messages (including performing all security | 5 d | |
| * module test harness | operations) and then initiates event notifications | 5 d | |
| * integrate into platform suite | for all application components that have registered | 10 d | |
| | an interest in messages of this type. | | |
| C/C++ event manager API module | C/C++ language implementation of the | | |
| receive * C event notification interface | event API. These also make up the core modules | 3 d | |
| * C++ wrapper class | for the scripting level languages Tcl and Perl. | 1 d | |
| * integrate into platform suite | Receive events occur when a mailbox monitor | 2 d | |
| * module test harnesses | notifies an application that a message with a | 1 d | |
| | specific set of characteristics (MIME type, | | |
| | originator address etc.) arrives in one of the | | |
| | monitored mailboxes. This API defines the | | |
| | interface by which notifications can be made to | | |
| | an application. It includes registration and | | |
| | callback interfaces. | | |
| Tcl/Tk event manager API module | Tcl language implementation of the event | | |
| manager API. * implement Tcl extension module | API. | 3 d | |
| * integrate into platform suite | | 2 d | |
| test harnesses | | 3 d | * module |
| Perl event manager API module | Perl language implementation of the event | | |
| manager * implement Perl extension module | API. | 3 d | |
| * integrate into platform suite | | 2 d | |
| test harnesses | | 2 d | * module |
| Java event manager API module | Java language implementation of the event | | |
| manager * implement native Java class | API. | 5 d | |
| * integrate into platform suite | | 10 d | |
| test harnesses | | 5 d | * module |

Transaction reception API user guide User guide describing how API can be used,
how applications are constructed and what tools
are available with the toolkit.

5. Message tracking subsystem

| Feature | Description | Cost | D-Date |
|---|--|------|--------|
| Tasks | | | |
| ----- | | | |
| Message tracking database status. | Database for managing submitted message status. | | 4 h |
| * define database schema | This includes the current status of the message, | | |
| * database management API | details about error conditions (if any) and | 3 d | |
| * module test harnesses | message transition timing information. | 1 d | |
| Message tracking registration | Register outbound messages in the tracking database. | | |
| * extend message submission API to register | This enables tracking status to be updated and reports generated for a messages. | 1 d | |
| Message tracking monitor | Tracking monitor collects asynchronous notifications from mailboxes and automatically | | |
| * DSN message handler | updates message status in the tracking database. | 2 d | |
| * MDN message handler | | 2 d | |
| * tracking database status update | | 1 d | |
| daemon application | * monitor | 2 d | |
| Message tracking agent API | API for executing status queries against the tracking database on behalf of an authorized user. | | |
| Message tracking report generator | Generate reports based on business rules. | | |
| Reports | can include alerts for certain kinds of failures. Reports are generated based on criteria like: bounced (rejected) messages, delayed (in transit) messages, lost messages. | | |
| Message tracking agent GUI | UI for querying the status of one or more messages directly from the database. Includes support for initiating report generation. | | |